

06-04-02

Express Mail Label No. EL953455462US

MP

MAY 31 2002
U.S. PATENT & TRADEMARK OFFICE
TRANSMITTAL FORM

Application Serial Number	10/005,212
Filing Date	December 4, 2001
First Named Inventor	Gillies
Group Art Unit	1646
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-002C1
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Checks Attached (2) <input type="checkbox"/> Copy of Fee Transmittal Form 	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <ul style="list-style-type: none"> <input type="checkbox"/> Formal Drawing(s) 	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <ul style="list-style-type: none"> <input type="checkbox"/> Appeal Brief (in triplicate)
<input checked="" type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Preliminary (2 pgs) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] 	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <ul style="list-style-type: none"> <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) 	<input type="checkbox"/> Status Inquiry <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Terminal Disclaimer <ul style="list-style-type: none"> <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application 	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <ul style="list-style-type: none"> <input type="checkbox"/> Response to Notice to File Missing Parts (2 pgs.)
<input type="checkbox"/> Information Disclosure Statement <ul style="list-style-type: none"> <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations 	<input type="checkbox"/> Small Entity Statement <ul style="list-style-type: none"> <input type="checkbox"/> CD(s) for large table or computer program 	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance <ul style="list-style-type: none"> <input type="checkbox"/> Request for Certificate of Correction 	
<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above 	<input type="checkbox"/> Certificate of Correction (in duplicate)	

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Pat R. H. Waller

Patrick R. H. Waller, Ph.D.
 Attorney for Applicant(s)
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



Express Mail Label No. EL953455462US

PATENT
Attorney Docket No. LEX-002C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gillies et al.
SERIAL NO.: 10/005,212 GROUP NO.: 1646
FILING DATE: December 4, 2001 EXAMINER: Not Yet Assigned
TITLE: HETERODIMERIC FUSION PROTEINS USEFUL FOR TARGETED IMMUNE THERAPY AND GENERAL IMMUNE STIMULATION

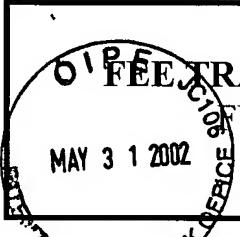
BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

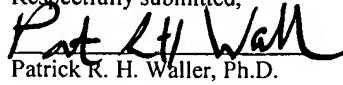
RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to a "Notice to File Missing Parts of Application" dated January 31, 2002, Applicants submit the following:

1. a check in the amount of \$980.00 and a check in the amount of \$290.00;
2. a copy of the "Notice to File Missing Parts of Application" (1 pg);
3. a Transmittal Form (1 pg);
4. a Fee Transmittal Form (1 pg);
5. a Preliminary Amendment (2 pgs);
6. a petition for a two month extension of time (1 pg); and
7. a return receipt postcard.

 FEE TRANSMITTAL MAY 31 2002		<i>Complete if Known</i>	
		Application Serial Number	10/005,212
		Filing Date	December 4, 2001
		First Named Inventor	Gillies
		Group Art Unit	1646
		Examiner Name	Not Yet Assigned
		Attorney Docket No.	LEX-002C1

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																				
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Checks (2) <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 20%;">Large Entity Fee (\$)</th> <th style="text-align: left; width: 20%;">Small Entity Fee (\$)</th> <th style="text-align: left; width: 60%;">Fee Description</th> <th style="text-align: right; width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td style="text-align: right;">130.00</td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td style="text-align: right;"></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td style="text-align: right;"></td></tr> <tr><td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td style="text-align: right;"></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td style="text-align: right;"></td></tr> <tr><td>400</td><td>200</td><td>Extension for reply within second month</td><td style="text-align: right;">400.00</td></tr> <tr><td>920</td><td>460</td><td>Extension for reply within third month</td><td style="text-align: right;"></td></tr> <tr><td>1440</td><td>720</td><td>Extension for reply within fourth month</td><td style="text-align: right;"></td></tr> <tr><td>1960</td><td>980</td><td>Extension for reply within fifth month</td><td style="text-align: right;"></td></tr> <tr><td>320</td><td>160</td><td>Notice of Appeal</td><td style="text-align: right;"></td></tr> <tr><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td style="text-align: right;"></td></tr> <tr><td>280</td><td>140</td><td>Request for oral hearing</td><td style="text-align: right;"></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td style="text-align: right;"></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td style="text-align: right;"></td></tr> <tr><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td style="text-align: right;"></td></tr> <tr><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td style="text-align: right;"></td></tr> <tr><td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td style="text-align: right;"></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td colspan="2"></td><td style="text-align: right;"></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td colspan="2"></td><td style="text-align: right;"></td></tr> </tbody> </table>			Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath	130.00	50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		110	55	Extension for reply within first month		400	200	Extension for reply within second month	400.00	920	460	Extension for reply within third month		1440	720	Extension for reply within fourth month		1960	980	Extension for reply within fifth month		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		130	130	Petitions to the Commissioner		180	180	Submission of Information Disclosure Statement		740	370	Filing a submission after final rejection (37 CFR 1.129(a))		740	370	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		Other fee (Specify)					Other fee (Specify)				
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																			
130	65	Surcharge - late filing fee or oath	130.00																																																																																			
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																				
130	130	Non-English specification																																																																																				
2,520	2,520	Request for ex parte reexamination																																																																																				
110	55	Extension for reply within first month																																																																																				
400	200	Extension for reply within second month	400.00																																																																																			
920	460	Extension for reply within third month																																																																																				
1440	720	Extension for reply within fourth month																																																																																				
1960	980	Extension for reply within fifth month																																																																																				
320	160	Notice of Appeal																																																																																				
320	160	Filing a brief in support of an appeal																																																																																				
280	140	Request for oral hearing																																																																																				
130	130	Petitions to the Commissioner																																																																																				
180	180	Submission of Information Disclosure Statement																																																																																				
740	370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																				
740	370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																				
100	100	Certificate of Correction for applicant's error																																																																																				
Other fee (Specify)																																																																																						
Other fee (Specify)																																																																																						
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.																																																																																						
3. <input type="checkbox"/> Applicant claims small entity status.																																																																																						
FEE CALCULATION																																																																																						
1. FILING FEE																																																																																						
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left;">Large Entity</th> <th colspan="3" style="text-align: right;">Fee Paid</th> </tr> <tr> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> <th colspan="2"></th> </tr> </thead> <tbody> <tr><td>740</td><td>Utility filing fee</td><td style="text-align: right;">740.00</td><td colspan="2"></td></tr> <tr><td>330</td><td>Design filing fee</td><td style="text-align: right;"></td><td colspan="2"></td></tr> <tr><td>160</td><td>Provisional filing fee</td><td style="text-align: right;"></td><td colspan="2"></td></tr> </tbody> </table>					Large Entity		Fee Paid			Fee (\$)	Fee Description	Fee Paid			740	Utility filing fee	740.00			330	Design filing fee				160	Provisional filing fee																																																												
Large Entity		Fee Paid																																																																																				
Fee (\$)	Fee Description	Fee Paid																																																																																				
740	Utility filing fee	740.00																																																																																				
330	Design filing fee																																																																																					
160	Provisional filing fee																																																																																					
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Number Filed</th> <th style="text-align: left;">Number Extra</th> <th style="text-align: left;">Rate</th> <th colspan="2" style="text-align: right;">Amount</th> </tr> </thead> <tbody> <tr><td>Total Claims</td><td>- 20 =</td><td>x \$ 18.00 =</td><td colspan="2"></td></tr> <tr><td>Independent Claims</td><td>- 3 =</td><td>x \$ 84.00 =</td><td colspan="2"></td></tr> <tr><td colspan="2"><input type="checkbox"/> Multiple Dependent Claim(s), if any</td><td>\$280.00 =</td><td colspan="2"></td></tr> </tbody> </table>					Number Filed	Number Extra	Rate	Amount		Total Claims	- 20 =	x \$ 18.00 =			Independent Claims	- 3 =	x \$ 84.00 =			<input type="checkbox"/> Multiple Dependent Claim(s), if any		\$280.00 =																																																																
Number Filed	Number Extra	Rate	Amount																																																																																			
Total Claims	- 20 =	x \$ 18.00 =																																																																																				
Independent Claims	- 3 =	x \$ 84.00 =																																																																																				
<input type="checkbox"/> Multiple Dependent Claim(s), if any		\$280.00 =																																																																																				
TOTAL: 740.00																																																																																						
SMALL ENTITY DISCOUNT:																																																																																						
SUBTOTAL (1)		(\$)	740.00																																																																																			
2. AMENDMENT CLAIM FEES																																																																																						
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Claims Remaining</th> <th style="text-align: left;">Highest No. Previously</th> <th style="text-align: left;">Present Extra</th> <th style="text-align: left;">Rate</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Total</td><td>-</td><td>=</td><td>x \$ 18.00 =</td><td style="text-align: right;">SUBTOTAL (3) (\$)</td></tr> <tr><td>Indep.</td><td>-</td><td>=</td><td>x \$ 84.00 =</td><td style="text-align: right;">530.00</td></tr> <tr><td colspan="2"><input type="checkbox"/> First Presentation of Multiple Dep. Claim</td><td></td><td>+\$280.00 =</td><td style="text-align: right;">740.00</td></tr> </tbody> </table>					Claims Remaining	Highest No. Previously	Present Extra	Rate	Fee Paid	Total	-	=	x \$ 18.00 =	SUBTOTAL (3) (\$)	Indep.	-	=	x \$ 84.00 =	530.00	<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+\$280.00 =	740.00																																																														
Claims Remaining	Highest No. Previously	Present Extra	Rate	Fee Paid																																																																																		
Total	-	=	x \$ 18.00 =	SUBTOTAL (3) (\$)																																																																																		
Indep.	-	=	x \$ 84.00 =	530.00																																																																																		
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+\$280.00 =	740.00																																																																																		
TOTAL: (\$)																																																																																						
SMALL ENTITY DISCOUNT:																																																																																						
SUBTOTAL (2)		(\$)	740.00																																																																																			
SUBTOTAL (3) (\$)																																																																																						
TOTAL (\$)																																																																																						
CORRESPONDENCE ADDRESS																																																																																						
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		SIGNATURE BLOCK Respectfully submitted,  Patrick R. H. Waller, Ph.D. Attorney for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																				



Express Mail Label No. EL953455462US

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,212	12/04/2001	Stephen D. Gillies	LEX-002C1 (4006/13)

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

CONFIRMATION NO. 6802

FORMALITIES LETTER



OC00000007400024

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1612.
 - \$576 for 32 total claims over 20.
 - \$756 for 9 independent claims over 3 .
 - \$280 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2482.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2002 JBALINAN 00000095 10005312
740.00
130.00
01 FC101
02 FC105